

PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

To:

KOBAYASI, Ryohei
KOBAYASI PATENT & TRADEMARK
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NOTIFICATION OF THE RECORDING
OF A CHANGE

(PCT Rule 92bis.1 and
Administrative Instructions, Section 422)

| | |
|---|---|
| Date of mailing (day/month/year) 24 February 2004 (24.02.2004) | IMPORTANT NOTIFICATION |
| Applicant's or agent's file reference 50308714 | |
| International application No. PCT/JP2003/015635 | International filing date (day/month/year) 05 December 2003 (05.12.2003) |

1. The following indications appeared on record concerning:

☒ the applicant ☒ the inventor ☐ the agent ☐ the common representative

| | | |
|------------------|----------------------|--------------------|
| Name and Address | State of Nationality | State of Residence |
| | Telephone No. | |
| | Facsimile No. | |
| | Teleprinter No. | |

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☒ the person ☐ the name ☐ the address ☐ the nationality ☐ the residence

| | | |
|--|----------------------------|--------------------------|
| Name and Address TANAKA, Yoshinori 203 Casa-Zui 43-2 Kawashima-Awata-cho Nishikyo-ku, Kyoto-shi Kyoto 615-8194 Japan | State of Nationality JP | State of Residence JP |
| | Telephone No. | |
| | Facsimile No. | |
| | Teleprinter No. | |

3. Further observations, if necessary:

The person identified in Box 2 should be added to the record as applicant for US and inventor for all designated States.

4. A copy of this notification has been sent to:

☒ the receiving Office ☐ the designated Offices concerned
☒ the International Searching Authority ☐ the elected Offices concerned
☐ the International Preliminary Examining Authority ☐ other:

| | |
|---|--|
| The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland | Authorized officer Alexandra BARRAS |
| Facsimile No. (41-22) 338.90.90 | Telephone No. (41-22) 338 9415 |

PCT REQUEST

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|----------------|--|---|
| 0 | For receiving Office use only | |
| 0-1 | International Application No. | |
| 0-2 | International Filing Date | |
| 0-3 | Name of receiving Office and "PCT International Application" | |
| 0-4 | Form PCT/RO/101 PCT Request | |
| 0-4-1 | Prepared Using | PCT-SAFE [EASY mode] Version 3.50 (Build 0002.170) |
| 0-5 | Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty | |
| 0-6 | Receiving Office (specified by the applicant) | Japan Patent Office (RO/JP) |
| 0-7 | Applicant's or agent's file reference | 50308714 |
| I | Title of Invention | TWO-DIMENSIONAL PHOTONIC CRYSTAL SLAB HAVING THREE-DIMENSIONAL LOCAL STRUCTURE |
| II | Applicant | |
| II-1 | This person is | applicant only |
| II-2 | Applicant for | all designated States except US |
| II-4 | Name | JAPAN SCIENCE AND TECHNOLOGY AGENCY |
| II-5 | Address | 4-1-8, Honcho, Kawaguchi-shi, Saitama 332-0012 Japan |
| II-6 | State of nationality | JP |
| II-7 | State of residence | JP |
| II-8 | Telephone No. | 81-3-5214-8486 |
| II-9 | Facsimile No. | 81-3-5214-8417 |
| III-1 | Applicant and/or inventor | |
| III-1-1 | This person is | applicant and inventor |
| III-1-2 | Applicant for | US only |
| III-1-4 | Name (LAST, First) | NODA, Susumu |
| III-1-5 | Address | 231 Kyoudai Syokuin Shukusha, Gokasyo, Uji-shi, Kyoto 611-0011 Japan |
| III-1-6 | State of nationality | JP |
| III-1-7 | State of residence | JP |

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| III-2 | Applicant and/or inventor | |
| III-2-1 | This person is | applicant and inventor |
| III-2-2 | Applicant for | US only |
| III-2-4 | Name (LAST, First) | ASANO, Takashi |
| III-2-5 | Address | 2-108 Katsura 2nd. Goudou Shukusha, 65-3 Kawashimagotan-osa-cho Nishikyo-ku, Kyoto-shi, Kyoto 615-8104 Japan |
| III-2-6 | State of nationality | JP |
| III-2-7 | State of residence | JP |
| III-3 | Applicant and/or inventor | |
| III-3-1 | This person is | applicant and inventor |
| III-3-2 | Applicant for | US only |
| III-3-4 | Name (LAST, First) | TANAKA, Yoshinori |
| III-3-5 | Address | 203 Casa-Zui, 43-2 Kawashima-Awata-cho Nishikyo-ku, Kyoto-shi, Kyoto 615-8194 Japan |
| III-3-6 | State of nationality | JP |
| III-3-7 | State of residence | JP |
| IV-1 | Agent or common representative; or address for correspondence | |
| | The person identified below is hereby/ has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: | agent |
| IV-1-1 | Name (LAST, First) | KOBAYASI, Ryohei |
| IV-1-2 | Address | KOBAYASI PATENT & TRADEMARK 7th Floor, Hogenshijokarasuma Building, 37, Motoakuoji-cho, Higashinotoindori Shijo Sagaru, Shimogyo-ku, Kyoto-shi, Kyoto 600-8091 Japan |
| IV-1-3 | Telephone No. | 075-351-6121 |
| IV-1-4 | Facsimile No. | 075-351-6122 |
| IV-1-5 | e-mail | kyopat@kyopat.jp |

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| V | DESIGNATIONS | | |
| V-1 | The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents. | | |
| VI-1 | Priority claim of earlier national application | | |
| VI-1-1 | Filing date | 06 December 2002 (06.12.2002) | |
| VI-1-2 | Number | 2002-355631 | |
| VI-1-3 | Country | JP | |
| VII-1 | International Searching Authority Chosen | Japan Patent Office (ISA/JP) | |
| VIII | Declarations | Number of declarations | |
| VIII-1 | Declaration as to the identity of the inventor | - | |
| VIII-2 | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent | - | |
| VIII-3 | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application | - | |
| VIII-4 | Declaration of inventorship (only for the purposes of the designation of the United States of America) | - | |
| VIII-5 | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty | - | |
| IX | Check list | number of sheets | electronic file(s) attached |
| IX-1 | Request (including declaration sheets) | 5 | ✓ |
| IX-2 | Description | 10 | - |
| IX-3 | Claims | 2 | - |
| IX-4 | Abstract | 1 | ✓ |
| IX-5 | Drawings | 6 | - |
| IX-7 | TOTAL | 24 | |
| | Accompanying Items | paper document(s) attached | electronic file(s) attached |
| IX-8 | Fee calculation sheet | ✓ | - |
| IX-17 | PCT-SAFE physical media | - | ✓ |
| IX-19 | Figure of the drawings which should accompany the abstract | 1 | |
| IX-20 | Language of filing of the international application | Japanese | |

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| X-1 | Signature of applicant, agent or common representative | |
| X-1-1 | Name (LAST, First) | |
| X-1-2 | Name of signatory | |
| X-1-3 | Capacity | |

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|---------------|--|---------------|
| 10-1 | Date of actual receipt of the purported international application | |
| 10-2 | Drawings: | |
| 10-2-1 | Received | |
| 10-2-2 | Not received | |
| 10-3 | Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application | |
| 10-4 | Date of timely receipt of the required corrections under PCT Article 11(2) | |
| 10-5 | International Searching Authority | ISA/JP |
| 10-6 | Transmittal of search copy delayed until search fee is paid | |

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| 11-1 | Date of receipt of the record copy by the International Bureau | |
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